



Prognostics-based Scheduling to Extend a Platform Useful Life under Service Constraint

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1. Context and State of the art



Production scheduling

- Heterogeneous, independant, parallel machines
- Production based on a customer demand



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Production scheduling

- Heterogeneous, independent, parallel machines
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Maintenance

- Wear and tear on machines
- Only one global maintenance allowed

⇒ Production horizon maximization before maintenance



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Maintenance

⇒ Production horizon maximization

Operating conditions

⇒ Consideration of many running profiles

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Operating conditions

⇒ Consideration of many running profiles

⇒ Taking real wear and tear into consideration (and not average life)

Prognostics and Health Management (PHM)

- Machine monitoring
- Remaining Useful Life (*RUL*) value depending on past and future usage

1. Context and State of the art



Prognostics and Health Management (PHM)

- Maintenance scheduling based on actual health state
 - ✧ Haddad et al.: maintenance optimization under availability requirement
["A real options optimization model to meet availability requirements for offshore wind turbines", MFPT, Virginia, 2011]
 - ✧ Vieira et al.: maintenance scheduling based on health limits
["New variable health threshold based on the life observed for improving the scheduled maintenance of a wind turbine", 2nd IFAC Workshop on Advanced Maintenance Engineering, 2012]
- Maintenance scheduling and mission reconfiguration
 - ✧ Balaban et al.: rover maintenance optimization and mission duration extension
["A mobile robot testbed for prognostic-enabled autonomous decision making", Annual Conference of the Prognostics and Health Management Society, 2011]

1. Context and State of the art

Operating conditions

- Variable-speed machines: control of time used by jobs on machines
- ✧ Trick: single and multiple machine variable-speed scheduling
["Scheduling multiple variable-speed machines", Operations Research, 1994, 42, p.234-248]
- ✧ Dietl et al.: derating of cutting tools by reducing the cutting speed
["An operating strategy for high-availability multi-station transfer lines", Int. J. of Automation and Computing, 2006, 2, p.125 - 130]
- Voltage/Frequency scaling
- ✧ Kimura et al.: energy consumption reducing without impacting performance
["Empirical study on reducing energy of parallel programs using slack reclamation by dvfs in a power-scalable high performance cluster", IEEE Int. Conf. on Cluster Computing, Barcelona, 2006]
- ✧ Semeraro et al.: microprocessor's performance and energy efficiency maximization
["Energy-efficient processor design using multiple clock domains with dynamic voltage and frequency scaling", HPCA, Cambridge, 2002]

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⇒ Production horizon maximization

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⇒ Consideration of many running profiles

⇒ Taking real wear and tear into consideration (and not average life)

Prognostics and Health Management (PHM)

⇒ Use of prognostics results: *RUL*

⇒ **Prognostics-based scheduling**



1. State of the art
2. Scheduling with running profiles in a discrete throughput domain
 - Problem statement
 - Complexity results
 - Optimal approach
 - Sub-optimal resolution
 - Results
 - Summary
3. Scheduling with running profiles in a continuous throughput domain
 - Problem statement
 - Convex optimization
 - Summary
4. Conclusion

2.1. Problem statement



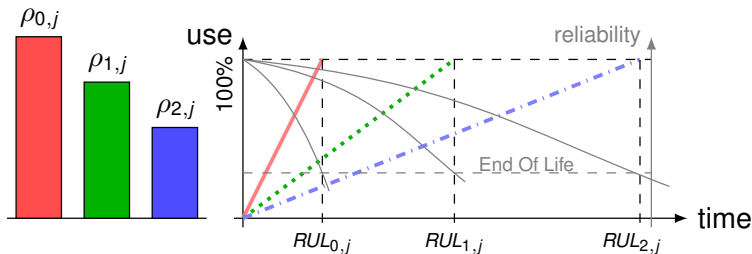
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- m independant machines (M_j)
- n running profiles (N_i)
- PHM monitoring $\rightarrow (\rho_{i,j}, RUL_{i,j})$

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Constraints

- No RUL overrun
- Mission fulfillment: constant demand in terms of throughput (σ)

Objective

- To fulfill total throughput requirements as long as possible
 $\text{MAXK}(\sigma \mid \rho_{i,j} \mid RUL_{i,j})$
- Time discretization ($\mathcal{T} = K \times \Delta T, 1 \leq k \leq K$)

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2.1. Problem statement

Motivating example



M_1

$$N_{0,1} = (\rho_{0,1} = 450W, RUL_{0,1} = 1u.t.)$$
$$N_{1,1} = (\rho_{1,1} = 125W, RUL_{1,1} = 3u.t.)$$



M_2

$$N_{0,2} = (\rho_{0,2} = 350W, RUL_{0,2} = 1u.t.)$$
$$N_{1,2} = (\rho_{1,2} = 100W, RUL_{1,2} = 2u.t.)$$



M_3

$$N_{0,3} = (\rho_{0,3} = 350W, RUL_{0,3} = 1u.t.)$$
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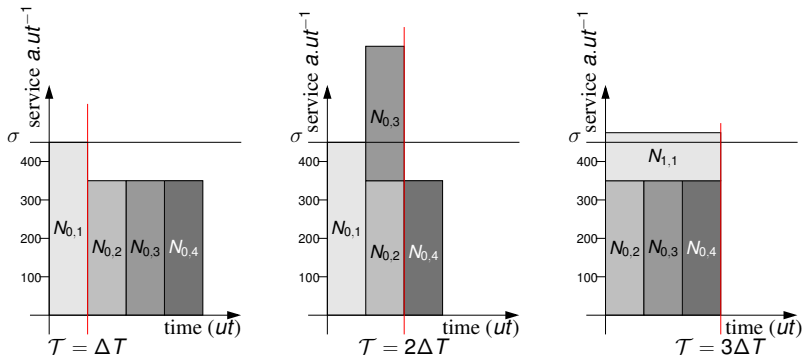


M_4

$$N_{0,4} = (\rho_{0,4} = 350W, RUL_{0,4} = 1u.t.)$$
$$N_{1,4} = (\rho_{1,4} = 100W, RUL_{1,4} = 2u.t.)$$

2.1. Problem statement

Motivating example



2.2. Complexity results



Complexity map

	Homogeneous machines	Heterogeneous machines
1 running profile	$\rho_{i,j} = \rho$ $\text{MAXK}(\sigma \mid \rho \mid RUL_j)$ \Rightarrow polynomial	$\rho_{i,j} = \rho_j$ $\text{MAXK}(\sigma \mid \rho_j \mid RUL_j)$ \Rightarrow NP-complete
n running profiles	$\rho_{i,j} = \rho_i$ $\text{MAXK}(\sigma \mid \rho_i \mid RUL_{i,j})$ $\Rightarrow ?$	$\rho_{i,j} = \rho_{i,j}$ $\text{MAXK}(\sigma \mid \rho_{i,j} \mid RUL_{i,j})$ \Rightarrow NP-complete

2.3. Optimal approach

Binary Integer Linear Program (BILP)

$a_{i,j,k} = 1$ if machine M_j is used with running profile N_i during period k ,
0 otherwise

$$\left\{ \begin{array}{ll} \forall k, \forall j, \sum_{i=0}^{n-1} a_{i,j,k} \leq 1 & \text{(machines)} \\ \forall j, \sum_{i=0}^{n-1} \frac{\sum_{k=1}^K a_{i,j,k} \times \Delta T}{RUL_{i,j}} \leq 1 & \text{(RUL)} \\ \forall k, \sum_{j=1}^m \sum_{i=0}^{n-1} a_{i,j,k} \times \rho_{i,j} \geq \sigma & \text{(service)} \end{array} \right.$$

- Binary search to find maximal value of k

⇒ Limited to small instances: ≈ 5 machines, 2 running profiles, 20 time periods

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2.4. Sub-optimal resolution



Basic heuristics

- Assignment of machines to reach the demand σ as long as possible
 - Selection of one running profile for each machine and each time period
-
- ✧ H-LRF: Largest *RUL* First
 - ✧ H-HOF: Highest Output First
 - ✧ H-DP: Dynamic Programming based

Enhancement: repair

- Revision of the schedules obtained with basic heuristics
- Use of available machines

✧ H-LRF-R, H-HOF-R, H-DP-R

2.4. Sub-optimal resolution



Basic heuristics

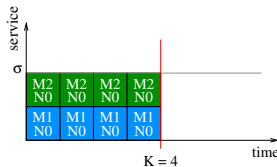
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2.4. Sub-optimal resolution

- H-DP schedule



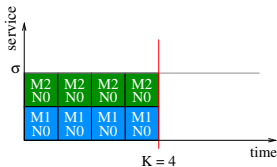
Remaining potential

M3 N0	M3 N0	M3 N0	M3 N0
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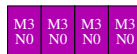
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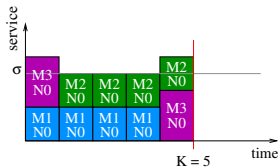
- H-DP schedule



Remaining potential



- H-DP-R Step 1



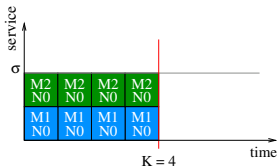
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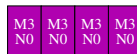
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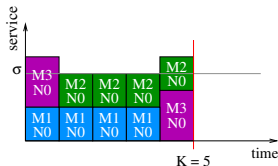
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Remaining potential



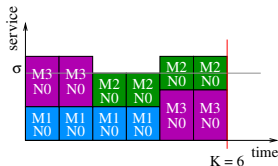
- H-DP-R Step 1



Remaining potential



- H-DP-R Step 2



Remaining potential

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2.5. Results



Simulations

- Validation of heuristics on random problem instances
- Consideration of an increasing output $Q_{i,j} = \rho_{i,j} \times RUL_{i,j}$ with ρ such that:

$$Q_{0,j} > Q_{1,j} > \dots > Q_{n-1,j}$$

$$\text{with } \rho_{0,j} > \rho_{1,j} > \dots > \rho_{n-1,j}$$

$$\text{and } RUL_{0,j} < RUL_{1,j} < \dots < RUL_{n-1,j}$$

- Constant demand $\sigma_k = \sigma$, with:

$$\sigma = \alpha \times \sum_{1 \leq j \leq m} \rho^{max_j}$$

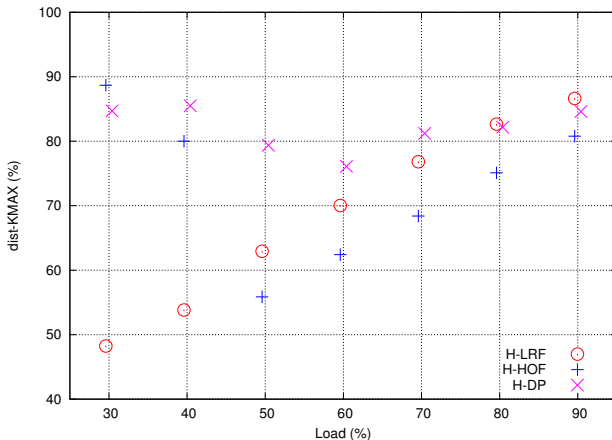
$$\text{with } 30\% \leq \sigma \leq 90\%$$

- Average value of 20 instances with same parameters values

2.5. Results

Comparison to an upper bound(n=5, m=25)

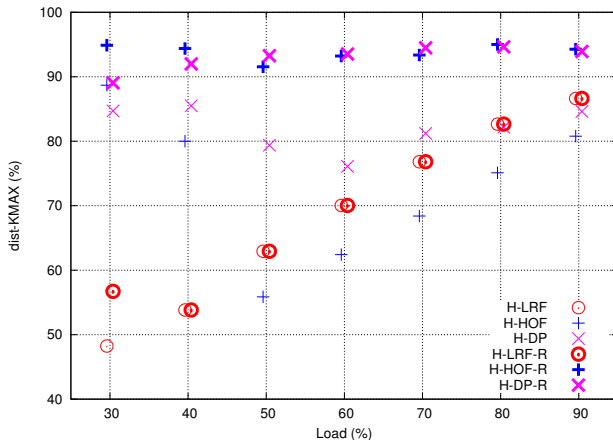
$$KMAX = \left[\sum_j \max_i (\rho_{i,j} \times RUL_{i,j}) / \sigma \right]$$



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2.6. Summary



Addressed problem: maximizing the production horizon under service constraint

- Scheduling using prognostics results (*RUL*)
- Choose of running profiles in a discrete throughput domain
- Extension of a platform operational time
- Efficient sub-optimal heuristics (6% from upper bound)

[MIM2013], [PHM2014*], [CASE2014]

⇒ Application on wind turbines, cutting tools

Second addressed problem

- Choose of running profiles in a continuous throughput domain

⇒ Application on fuel cells

- Continuous use of machines

* Best Paper Award

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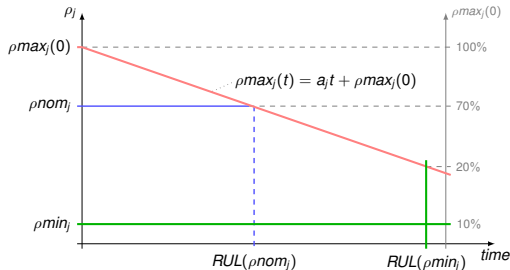
Problem data

- m independant machines (M_j)
- Running profiles **in a continuous throughput domain** ($\rho_{min_j} \leq \rho_j(t) \leq \rho_{max_j}(t)$)
- PHM monitoring $\rightarrow (\rho_j(t), RUL_j(\rho_j(t), t))$
- **Constant minimal throughput** (ρ_{min_j})
- **Maximal throughput decreasing with time** ($\rho_{max_j}(t)$)

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- No RUL overrun
- Mission fulfillment: constant demand in terms of throughput (σ)
- **Avoid temporary machine shutdowns**

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 $MAXK(\sigma \mid \rho_j(t) \mid RUL_j(\rho_j(t), t))$

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3.2. Convex optimization – Model



Problem statement

- Machine throughput

Model

$\mathbf{f}_j(\mathbf{t}) = \rho_j(\mathbf{t})$ if the machine is used
during the period t ,
 $= \mathbf{0}$ otherwise

$\forall j = 1, \dots, m$ and $\forall t = 0, \dots, T$

- Solution: schedule

$$F = \begin{bmatrix} f_1(0) \\ f_2(0) \\ \vdots \\ f_m(0) \\ \vdots \\ f_1(T) \\ \vdots \\ f_m(T) \end{bmatrix}$$

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3.2. Convex optimization – Model



Problem statement

- Continuous running profiles
- Constant minimal throughput
- Maximal throughput decreasing with time
- No *RUL* overrun
- Mission fulfillment

Model

$$\mathbf{f}_j(\mathbf{t}) = \mathbf{f}_{1,j}(\mathbf{t}) + \mathbf{f}_{2,j}(\mathbf{t})$$

$$\forall j = 1, \dots, m \text{ and } \forall t = 0, \dots, T$$

$$\mathbf{f}_j(\mathbf{t}) \geq \mathbf{fmin}_j$$

$$\forall j = 1, \dots, m \text{ and } \forall t = 0, \dots, T$$

$$\mathbf{f}_j(\mathbf{t}) \leq \mathbf{fmax}_j(\mathbf{t})$$

$$\forall j = 1, \dots, m \text{ and } \forall t = 0, \dots, T$$

$$\sum_{t=0}^T \Gamma(\mathbf{f}_j(\mathbf{t})) \leq 1$$

$$\forall j = 1, \dots, m$$

$$\sum_{j=1}^m \mathbf{f}_j(\mathbf{t}) \geq \sigma(\mathbf{t})$$

$$\forall j = 1, \dots, m \text{ and } \forall t = 0, \dots, T$$

3.2. Convex optimization – Constraints

Model

$$f_j(t) = f_{1,j}(t) + f_{2,j}(t)$$

$$f_j(t) \geq fmin_j$$

$$\forall j = 1, \dots, m \text{ and } \forall t = 0, \dots, T$$

$$f_j(t) \leq fmax_j(t)$$

$$\forall j = 1, \dots, m \text{ and } \forall t = 0, \dots, T$$

$$\sum_{t=0}^T \Gamma(f_j(t)) \leq 1$$

$$\forall j = 1, \dots, m$$

$$\sum_{j=1}^m f_j(t) \geq \sigma(t)$$

$$\forall j = 1, \dots, m \text{ and } \forall t = 0, \dots, T$$

Constraint functions

$$\psi_{1,j}(\mathbf{F})_t = f_{1,j}(t)$$

$$\psi_{2,j}(\mathbf{F})_t = f_{2,j}(t)$$

$$\forall j = 1, \dots, m$$

$$\psi_{3,j}(\mathbf{F})_t = fmax_j(t) - f_j(t)$$

$$\forall j = 1, \dots, m$$

$$\psi_{4,j}(\mathbf{F})_t = 1 - \sum_{t=0}^T \Gamma(f_j(t))$$

$$\forall j = 1, \dots, m$$

$$\text{with } \Gamma(f_j(t)) = \frac{a_j \Delta T}{f_j(t) - fmax_j(0)}$$

$$\psi_0(\mathbf{F})_t = \sum_{j=1}^m f_j(t) - \sigma(t)$$

3.2. Convex optimization – Scheme



Objective function

$$\phi(F) = \sum_{j=1}^m \lambda_{1,j} \|\Delta f_{1,j}\|_1 + \lambda_{2,j} \|\Delta f_{2,j}\|_\infty + \lambda_{2',j} \|\Delta^2 f_{2,j}\|_1$$

subject to the previous constraints ψ_0 and $\psi_{K,j}(F) \forall K = 1, \dots, 4$

- ℓ_1 penalization approach (convex functions)
- Control of the number of jumps (f_1), of the slope and of the number of breakpoints (f_2)

3.2. Convex optimization – Scheme



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Bregman-proximal method

⇒ Minimization of the objective function

⇒ Assures the positivity for each constraint function:

$$\psi_0(F) \geq 0 \text{ and } \psi_{K,j}(F) \geq 0 \quad \forall K = 1, \dots, 4 \text{ and } \forall j = 1, \dots, m$$

Lagrange function

$$L(F, u) = \|F\|_1 + \phi(F) + \sum_{j=1}^m u_j \psi_{4,j}(F)$$

such that $u \leq 0$, $\psi_0(F) \geq 0$ and $\psi_{K,j}(F) \geq 0 \quad \forall K = 1, \dots, 3$

3.2. Convex optimization – Scheme



Objective function

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3.2. Convex optimization

Coupled ADMM Bregman-proximal scheme

(ADMM: Alternating Direction Method of Multipliers)

Primal step:

$$\begin{aligned} F^{(l+1)} = \operatorname{argmin}_{F \in \mathbb{R}^{2m(T+1)}} & \left(L(F, u^{(l)}) + \lambda (D_h(\psi_0(F^{(l)}), \psi_0(F))) \right. \\ & + \langle V^{(l)}, F - F' \rangle + \langle V''^{(l)}, F - F'' \rangle + \langle V'''^{(l)}, F - F''' \rangle \\ & \left. + \frac{\rho}{2} \|F - F'\|_F^2 + \frac{\rho}{2} \|F - F''\|_F^2 + \frac{\rho}{2} \|F - F'''\|_F^2 \right) \end{aligned}$$

$$F'^{(l+1)} = \operatorname{argmin}_{\substack{F' \in \mathbb{R}^{2m(T+1)} \\ F=F^{(l+1)}}} \left(\lambda \left(\sum_{j=1}^m D_h(\psi_{1,j}(F'^{(l)}), \psi_{1,j}(F')) \right) + \langle V^{(l)}, F - F' \rangle + \frac{\rho}{2} \|F - F'\|_F^2 \right)$$

$$F''^{(l+1)} = \operatorname{argmin}_{\substack{F'' \in \mathbb{R}^{2m(T+1)} \\ F=F^{(l+1)}}} \left(\lambda \left(\sum_{j=1}^m D_h(\psi_{2,j}(F''^{(l)}), \psi_{2,j}(F'')) \right) + \langle V''^{(l)}, F - F'' \rangle + \frac{\rho}{2} \|F - F''\|_F^2 \right)$$

$$F'''^{(l+1)} = \operatorname{argmin}_{\substack{F''' \in \mathbb{R}^{2m(T+1)} \\ F=F^{(l+1)}}} \left(\lambda \left(\sum_{j=1}^m D_h(\psi_{3,j}(F'''^{(l)}), \psi_{3,j}(F''')) \right) + \langle V'''^{(l)}, F - F''' \rangle + \frac{\rho}{2} \|F - F'''\|_F^2 \right)$$

3.2. Convex optimization

Coupled ADMM Bregman-proximal scheme

(ADMM: Alternating Direction Method of Multipliers)

Primal step: $F^{(l+1)}, F'^{(l+1)}, F''^{(l+1)}, F'''^{(l+1)}$

Dual step:

$$u^{(l+1)} = \underset{u}{\operatorname{argmax}} \left(L(F^{(l+1)}, u) + \lambda D_h(u^{(l)}, u) \right)$$

$$V'^{(l+1)} = V'^{(l)} + F^{(l+1)} - F'^{(l+1)}$$

$$V''^{(l+1)} = V''^{(l)} + F^{(l+1)} - F''^{(l+1)}$$

$$V'''^{(l+1)} = V'''^{(l)} + F^{(l+1)} - F'''^{(l+1)}$$

3.2. Convex optimization

Coupled ADMM Bregman-proximal scheme

(ADMM: Alternating Direction Method of Multipliers)

Primal step: $F^{(l+1)}, F'^{(l+1)}, F''^{(l+1)}, F'''^{(l+1)}$

Dual step:

$$u^{(l+1)} = \underset{u}{\operatorname{argmax}} \left(L(F^{(l+1)}, u) + \lambda D_h(u^{(l)}, u) \right)$$

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$$V'''^{(l+1)} = V'''^{(l)} + F^{(l+1)} - F'''^{(l+1)}$$

Work in progress...

3.3. Summary

Addressed problem: maximizing the production horizon under service constraint

- Scheduling using prognostics results (*RUL*)
- Choose of running profiles in a continuous throughput domain
- Extension of a platform operational time

⇒ Convergence results of the method...

⇒ Experiment results...

4. Conclusion

Addressed problem: maximizing the production horizon under service constraint

- Scheduling using prognostics results (*RUL*)
- Choose of running profiles in a discrete or a continuous domain
- Extension of a platform operational time
- Off-line scheduling
- Constant and variable demand

Future work

- Introduction of storage in the case of variable demand
- Hybrid power production including storage devices

4. Conclusion

Adressed problem: maximizing the production horizon under service constraint

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Future work

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4. Conclusion



Thank you for your attention

Any questions ?